

ATA Wealth Management Group

Registered Investment Advisors

Independent • Fee-Only Advisory
Portfolio Asset Management • Financial Planning

An Update on the Schwab/TD Ameritrade Transition

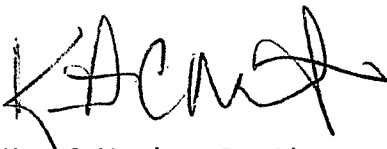
7/5/2023

Schwab and TD Ameritrade continue to make great progress on the integration of the two firms, with an anticipated transition date of September 5, 2023. Your relationship with me, your advisor, will not change as part of the integration and you do not need to do anything for this transition to happen. Keep in mind for this to happen it is important that you **DO NOT OPT OUT** of the transition. Schwab will automatically transfer your assets and holdings – there is nothing you need to do until this is completed.

As your advisor, I leverage custody services to help support your investment goals and safeguard your assets through things like SIPC account protection and FDIC insurance. Selecting an investment advisor is a significant decision. And choosing the right brokerage firm to custody your assets is an important decision. With the Integration of Schwab and TD Ameritrade, Schwab will now serve as the custodian for your assets.

Please be aware that 60 days prior to the transition, you will receive an Account Notification letter from Schwab, letting you know your account will transfer Labor Day weekend. There is no action required from you for your accounts to transition. If you have any questions on materials you receive, you can always call me or Mike.

As always, we have your best interest at heart.



Kent C. Newhart, President



Michael P. Boyko, Senior Account Executive